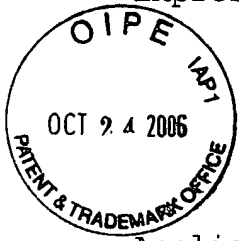


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PATENTS  
9955/D01/Y02/AGS/LP



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Mark Holst et al.  
Serial No. : 09/970,613  
Filed : October 4, 2001  
For : EFFLUENT GAS STREAM TREATMENT SYSTEM HAVING  
UTILITY FOR OXIDATION TREATMENT OF  
SEMICONDUCTOR MANUFACTURING EFFLUENT GASES  
Group Art Unit : 1764  
Customer No. : 41161

Mail Stop RCE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.56 and 1.97,  
applicants wish to call the attention of the Examiner to the  
following references:

U.S. Patent No. 4,236,464, Anderson et al.

U.S. Patent No. 4,801,437, Konagaya et al.

U.S. Patent No. 5,113,789, Kamian

U.S. Patent No. 5,118,286, Sarin

U.S. Patent No. 5,575,636, Kobayashi et al.

U.S. Patent No. 3,949,057, Gilbert, Jr.

U.S. Patent No. 4,011,298, Fukui et al.

U.S. Patent No. 5,955,037, Holst et al.

U.S. Patent No. 5,281,302, Gabric et al.

U.S. Patent No. 4,584,001, Dechene

U.S. Patent No. 4,172,708, Wu et al.

U.S. Patent No. 4,479,809, Johnson et al.

Foreign Art Reference No. EP 0360941 A2 (EPO)

Foreign Art Reference No. JP 07-323211 (Japan)

Foreign Art Reference No. JP 05-296979 (Japan)

Foreign Art Reference No. JP 57-117333 (Japan)

Foreign Art Reference No. JP 08-309146 (Japan)

Foreign Art Reference No. JP 63-062528 (Japan)

Foreign Art Reference No. JP 06-063357 (Japan)

Foreign Art Reference No. JP 05-192534 (Japan)

Foreign Art Reference No. JP 61-200851 (Japan)

Abrea, et al., "Causes of anomalous solid formation in the exhaust system of low-pressure chemical vapor deposition plasma enhanced chemical vapor deposition semiconductor processes, J. Vac. Sci. Technol B 12(4) Jul./Aug. 1994, pp. 2763/2767.

SoPheTec® International Product Spec Sheet, Model C-500 Physical Water Conditioner A publication by SohTec International, Costa Mesa, California.

Klaus J. Kronenberg, "Magnetized", Aqua Magazine, Aug. 1993, pp. 20-24.

Klaus J. Kronenberg, "Magnetized II", Aqua Magazine, Sept. 1993, pp. 20-23.

SoPheTec® International Product Spec Sheet, Model I-1020 Physical Water Conditioning A publication by SohTec International, Costa Mesa, California.

SoPheTec® Science-Marketing-Technology, Two Year Anniversary Special Edition, A publication by SohTec International, Costa Mesa, California, pp. 1-6.

Copies of the references have been submitted in the parent case US Serial No. 08/775,838, filed on December 31, 1996 and related case US Serial No. 09/307,508, filed on May 7, 1999.

These references are also listed on the accompanying Information Disclosure Statement (Form PTO-1449).

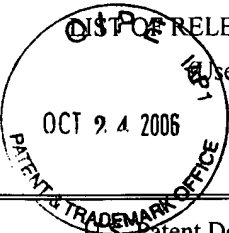
Consideration of the foregoing in relation to this  
patent application is respectfully requested.

Respectfully Submitted,

A handwritten signature in black ink, appearing to read 'Brian M. Dugan', with a long horizontal flourish extending to the right.

Brian M. Dugan, Esq.  
Registration No. 41,720  
Dugan & Dugan, PC  
Attorneys for Applicants  
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Dated: 10/24/06  
Tarrytown, New York

U.S. Department of Commerce, Patent and Trademark Office  <div style="display: flex; align-items: center; justify-content: center;">  <div style="margin-left: 10px;">           RELEVANT ART CITED BY APPLICANT            (Use several sheets if necessary)         </div> </div>				Docket No.: 9955/D01/Y02/AGS/LP		Serial No.: 09/970,613	
				Applicants: Mark Holst et al.			
				Filing Date: October 4, 2001		Group: 1764	

U.S. Patent Documents							
*Examiner Initial	Document Number	Issue Date	Name	Class	Subclass	Filing Date If Appropriate	
	US-1	4,236,464	Anderson et al.				
	US-2	4,801,437	Konagaya et al.				
	US-3	5,113,789	Kamian				
	US-4	5,118,286	Sarin				
	US-5	5,575,636	Kobayashi et al.				
	US-6	3,949,057	Gilbert, Jr.				
	US-7	4,011,298	Fukui et al.				
	US-8	5,955,037	Holst et al.				
	US-9	5,281,302	Gabric et al.				
	US-10	4,584,001	Dechene				
	US-11	4,172,708	Wu et al.				

Foreign Patent Documents							Translation	
Document Number	Date	Country	Class	Subclass	Yes	No		
F-1	EP 0360941 A2	04/04/90	EPO			X		
F-2	JP 07-323211	12/12/95	Japan			X		
F-3	JP 05-296979	11/12/93	Japan			X		
F-4	JP 57-117333	07/21/82	Japan				Abstract	
F-5	JP 08-309146	11/26/96	Japan			X		

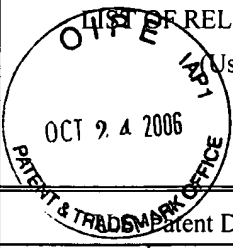
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)		
OT-1	Abrea, et al., "Causes of anomalous solid formation in the exhaust system of low-pressure chemical vapor deposition plasma enhanced chemical vapor deposition semiconductor processes, J. Vac. Sci. Technol B 12(4) Jul./Aug. 1994, pp. 2763/2767.	
OT-2	SoPheTec® International Product Spec Sheet, Model C-500 Physical Water Conditioner A publication by SohTec International, Costa Mesa, California.	
OT-3	Klaus J. Kronenberg, "Magnetized", Aqua Magazine, Aug. 1993, pp. 20-24.	

Examiner	Date Considered
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**\*EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.

U.S. Department of Commerce, Patent and Trademark Office  <div style="display: flex; align-items: center;">  <div style="margin-left: 10px;">           (Use several sheets if necessary)         </div> </div>				Docket No.: 9955/D01/Y02/AGS/LP		Serial No.: 09/970,613	
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	US-12	4,479,809	Johnson et al.				
	US-13						
	US-14						
	US-15						
	US-16						
	US-17						
	US-18						
	US-19						
	US-20						
	US-21						
	US-22						

Foreign Patent Documents							Translation	
	Document Number	Date	Country	Class	Subclass	Yes	No	
	F-6	JP 63-062528	03/18/88	Japan		Abstract		
	F-7	JP 06-063357	03/08/94	Japan		X		
	F-8	JP 05-192534	08/03/93	Japan		X		
	F-9	JP 61-200851	09/05/86	Japan		Abstract		
	F-10							

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)		
OT-4	Klaus J. Kronenberg, "Magnetized II", Aqua Magazine, Sept. 1993, pp. 20-23.	
OT-5	SoPheTec® International Product Spec Sheet, Model I-1020 Physical Water Conditioning A publication by SohTec International, Costa Mesa, California.	
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